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Washington, D.C. 20231

Attorney Docket No.: 18781-004910 Client Reference No.: T00-001-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Bei Shan, et al.

Application No.: 09/848,990

Filed: May 3, 2001

For: TREATMENT OF

HYPERTRIGLYCERIDEMIA AND OTHER CONDITIONS USING LXR

MODULATORS

Examiner:

Not yet assigned

Art Unit:

1645

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Bei Shan, et al.

Application No.: 09/848,990

Page 2

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Respectfully submitted,

Hugenia Garrett-Wackowski Reg. No. 37,330

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

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Application Number 09/848,990

Filing Date May 3, 2001

First Named Inventor Shan, Bei

Group Art Unit 1645

Examiner Name Not yet assigned

Attorney Docket Number 18781-004910

|                        |              |   | U.S. PATENT DOCUI | MENTS  |  |
|------------------------|--------------|---|-------------------|--|--|
| Examiner<br>Initials * | Cite<br>No.1 | U.S. Patent Document  Kind Code  (if known) | <del></del>       | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
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| Examiner  | Cite | Foreign Patent Document |                     |                                      | Name of Patentee                    | Date of Publication of       | Pages, Columns, Lines,<br>Where Relevant |    |
| Initials* | No.1 | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) | or Applicant of<br>Cited Document   | Cited Document<br>MM-DD-YYYY | Passages or Relevant<br>Figures Appear   | T⁵ |
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|           | 3    | PCT                     | WO 00/54759         | A2                                   | Tularik Inc.                        | 09/21/2000                   |  |    |
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|           | 5    | PCT                     | WO 01/15676         | A2                                   | Univ. of British<br>Columbia et al. | 03/08/2001                   |  |    |
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| Applicati n Number     | 09/848,990        | <b></b>        |
| Filing Date            | May 3, 2001       | 77             |
| First Named Inventor   | Shan, Bei         | <b>-</b> 20:   |
| Group Art Unit         | 1645              | 160            |
| Examiner Name          | Not yet assigned  | 8 8            |
| Attorney Docket Number | 18781-004910      |                |
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|                        |              | OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS   | , |
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| Examiner<br>Initials * | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Т |
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| Applicati n Number   | 09/848,990        | H.       |
| Filing Date          | May 3, 2001       | 17.6     |
| First Named Invent r | Shan, Bei         | · 'A     |
| Group Art Unit       | 1645              | 760      |
| Examiner Name        | Not yet assigned  | 200      |
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of Sheet Attorney Docket Number 18781<u>-004910</u>

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| 7 | Sheet       | 4  | of     | 4            | Attorney Docket Number  | 18781-004910  |           |                   |            |

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